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TDANB

## What to know now

Dear <ps\_name>,

We're excited to welcome you and your advisor to Schwab, where your investment advisor's commitment and Schwab Advisor Services' custody services will come together to help protect your assets and support your goals. The transition of your TD Ameritrade Institutional account to Schwab Advisor Services is on track to be completed by Tuesday, September 5, 2023.

To help make sure you have a smooth experience, we're sending this letter and the accompanying guide with key points to know, important dates, and action steps for you to take as part of the transition.

- Your relationship with your advisor will remain the same.
- Your account holdings will automatically transfer to Schwab.

### What isn't changing: Your advisor's relationship with you.

When you and your investment advisor work with Schwab, you'll have access to a wider array of products and services, but one thing won't change: Your advisor will remain just as committed to your relationship and providing guidance built on a foundation of trust.

### What is changing: You'll have a new account number at Schwab.

Current TD Ameritrade Institutional account number	New Schwab account number as of September 5
<variable field>	<variable field>

Be advised, if you recently changed the tax ID number on your account or made certain changes to the account registration, that may trigger the issuance of a new account number. If that occurs, you will receive another letter with a new eight-digit Schwab account number to replace the account number shown here.

### When is the transition happening?

At 8:30 p.m. ET on Friday, September 1, 2023, we'll begin moving your TD Ameritrade Institutional account to Schwab Advisor Services. You won't have access to your account as we transfer your assets and account holdings over the course of the weekend. We regret any inconvenience this may cause, but rest assured, beginning on Tuesday, September 5, 2023, you will have the ability to access your account through Schwab Alliance (see the following section).

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## Accessing your new Schwab Alliance account.

Beginning Tuesday, September 5, 2023, you'll access your account through **Schwab Alliance (schwaballiance.com)**, our customized website and mobile platform that allows you to work easily and securely with your independent investment advisor and Schwab.

If you haven't already done so, please make sure you set up Schwab Alliance credentials as soon as possible. This will ensure you can view your accounts and approve any transactions initiated by your advisor.

- **If you have a Schwab Login ID and password**, there's nothing you need to do. You can log in with these credentials.
- **Need to set up your Schwab Login ID and password?** Go to **Schwab Alliance (schwaballiance.com)** and select **New Schwab user**, then follow the prompts. If you'd like to use your existing TD Ameritrade Institutional Advisor Client login as your Schwab Login ID, enter that information after clicking **New Schwab user**.

At Schwab, login info is tied to an individual person. Each Schwab client has their own unique Login ID and password, which automatically groups accounts with the same owner together, and they can be accessed under one login ID. After your account transitions, you will be able to access accounts managed by your advisor and accounts you manage on your own or with other third parties all in one place. You can also customize your settings and approve transactions initiated by your advisor using eAuthorization.

Please note: Each person associated with this account must have their own Schwab Login ID and password. You will only need to set up one set of credentials, one time.

## Key dates.

For a more detailed timeline and information about preparing for the transition, please see the guide included with this letter.

<b>September 1, 2023</b>	<ul style="list-style-type: none"><li>▪ Final day your account is custodied at TD Ameritrade Institutional. Note: You will receive paper trade confirmations for all trades placed on this date, even if you have opted to receive electronic trade confirmations.</li><li>▪ Last day to initiate money movement or deposit checks at TD Ameritrade Institutional.</li><li>▪ Last day to use TD Ameritrade Institutional checks or debit cards.</li></ul>
<b>September 1–5, 2023</b>	<ul style="list-style-type: none"><li>▪ Account information will be unavailable from 8:30 p.m. ET on Friday, September 1, 2023, to 5:00 a.m. ET on Tuesday, September 5, 2023.</li></ul>
<b>September 5, 2023</b>	<ul style="list-style-type: none"><li>▪ You will be able to access your account on Schwab Alliance at 5:00 a.m. ET.</li></ul>



### We're here to make this a smooth experience.

Please be sure to read the guide included with this letter for more detailed information about the transition. If you have any questions, please visit the **Advised Client Hub (welcome.schwab.com/alliance)**, reach out to your advisor, or call Schwab Alliance at **1-800-515-2157**. We look forward to supporting the relationship between you and your advisor, and we're committed to providing the best service in the industry.

This information applies only to the accounts managed by your independent investment advisor. It does not apply to any Schwab retail account(s) you may hold, unless you received a letter from us about those account(s).

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